

Electronic Acknowledgement Receipt

EFS ID:	9206324
Application Number:	10766965
International Application Number:	
Confirmation Number:	4387
Title of Invention:	System and method for avoiding transaction costs associated with trading orders
First Named Inventor/Applicant Name:	Thomas J. Daley
Customer Number:	63710
Filer:	Glen Farbanish/Laura Alto
Filer Authorized By:	Glen Farbanish
Attorney Docket Number:	04-6175
Receipt Date:	11-JAN-2011
Filing Date:	29-JAN-2004
Time Stamp:	13:06:43
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	04-6175_110111_IDS.pdf	43465 5a96601edd2a9f773e219711c8ff91f8b026d9	no	5

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	04-6175_110111_1449.pdf	32430 6027c25095ac08b36643c1996c05a505e91ee9	no	2
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3	Foreign Reference	JP_2003-525480.pdf	3678156 d0cc33ca081170055ccf814a00b1f8143a1b0	no	92
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4	Foreign Reference	WO_00-67172.pdf	3043867 98b2ee0fd528c57d0957a01902ba0f1c1202889	no	100
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5	Foreign Reference	JP_2003-522992.pdf	1356643 7071c26e41281623077788612ba3d958d43a20ab	no	33
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6	Foreign Reference	WO_00-77670.pdf	1227886 91d1364332a9413157666b60748b453ce97ba4	no	37
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7	Foreign Reference	WO_2004-068272.pdf	2858667 49c298a74b08b7d110ca3ba324a07208b126d30e	no	50
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8	Foreign Reference	WO_03-001325.pdf	1425421 a03191879369596bc2092d160a5d752094d425	no	24
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9	Foreign Reference	JP_2002-007707.pdf	690535 e0b0bb11802457b438379c75681812a07c239d	no	9
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10	Foreign Reference	JP_2002-063402.pdf	440008 20f46c61591082f15b75c5996da146607b0b	no	6

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11	Foreign Reference	JP_2002-133113.pdf	812376	no	11
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Warnings:**Information:**

20	NPL Documents	10-767699_OA_12-22-2010.pdf	416927 9/30/05 15:77:01 081 7d6-429202194088-92d1-02-0000	no	13
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.